



Asset account: rules for using

Dear clients,
the aim of this document is to explain procedures related to the **Asset account rules for using**.

I. Introduction

Investment service Provider¹ is **LYNX B.V., Branch CZ** (hereinafter „**LYNX**“); ID no.: 02451778; Address: Vaclavske namesti 776/10, Nove Mesto, 110 00 Praha 1; legal form: Branch, file no. A 76398 registered via Municipal court in Prague, Czechia.

LYNX applies honest, fair and professional conduct in the provision of services in accordance with the best interests of its customers² (hereinafter "clients"). Investor's protection and Consumer protection, incl. their interests of all clients, is our the highest priority.

II. Law requirements

The investment rules are based on law issued by EU and CZ, for further details, please see below³:

- Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU.
- Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (market abuse regulation) and repealing Directive 2003/6/EC of the European Parliament and of the Council and Commission Directives 2003/124/EC, 2003/125/EC and 2004/72/EC.
- Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council as regards organisational requirements and operating conditions for investment firms and defined terms for the purposes of that Directive etc.
- Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC (Text with EEA relevance), and coherent EU rules related to the applicable AML law.

III. Rules for asset account using

LYNX manages mainly pre-acceptation of the clients and opening an asset account via Interactive Brokers ("IB"), on whose platform clients perform trading of investment funds/instruments without any kind of LYNX intervention; LYNX does not directly maintain the clients' asset accounts and does not manage the clients' independent assets.

The asset account is intended for trading investment instruments, and it is not intended primarily and only for currency conversions.

IV. Introducing of some LYNX procedures

LYNX has various policies and procedures in place to keep clients informed on the web LYNX, for more info, click please: <https://www.lynxbroker.cz/dokumenty/>, for example:

- Conflicts of interest policy;
- Complaints handling policy;
- Data protection policy;
- Basic info about LYNX products and services;
- Information related to investment risks;
- Info memorandum on the application of measures against money laundering and terrorist financing AML/CFT and KYC etc.

Complete contractual documentation LYNX and IB you can find via www.lynxbroker.cz, www.lynxbroker.sk; www.lynxbroker.pl; risk warnings you can find therein.

¹ LYNX B.V. is regulated by the Czech National Bank and is conducted as "Branch of foreign Investment firm (non-bank)" via the [Lists of regulated and registered financial market entities](#).

² Customers coming from Slovak Republic and Poland have been pre-accepted by the LYNX Branch Czech Republic. LYNX client means e.g. customer, consumer, investor, account holder, proxy holder etc.

³ Above, only the most important legislation applicable to LYNX's activities is listed; the list is not exhaustive.



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Support LYNX center offers a wide range of help for you, e.g.

- opening an asset account via LYNX,
- product and services advisory and help,
- charges, fees, commissions,
- currency conversions,
- claims, complaints handling,
- platforms info, incl. manuals etc.

More info you can find via: <https://www.lynxbroker.cz/podpora/>

V. Final Provisions

LYNX is actively committed to the conflicts of interest prevention and risk management but there may be a situation that, despite of all adopted internal rules, Policies and measures will not be able to reliably and completely prevent the adverse effects of conflicts of interest on the client interests. In such cases, LYNX shall inform the client of the nature and source of the conflict of interest before providing the investment service and allow him/her to make an informed decision.

LYNX hereby informs clients, the information we provide via our website is issued exclusively for the public and does not constitute any investment advice or any investment advice provided by LYNX to customers, i.e. investors and potential investors, or to authorized representatives of investors or potential investors (hereinafter referred to as "investors"). The target market is the retail, private and corporate investor. Note, please that investors should pay increased attention to the risks associated with, before deciding to invest in investment products. In designing and updating our webpages, LYNX spends all possible efforts, care and relies on trustworthy sources (e.g. information from the LYNX B.V. Group), but we can't guarantee the accuracy, completeness and timeliness of the information provided. If you use the information provided by us without their verification, or as any investment advice or any investment recommendation, you do it so at your own discretion, at your own expense and risk. If you don't know the specific investment product and the risks associated with it, do not invest in it. The above-mentioned is for informational purposes only and no legal claims can be inferred.

Further information is available via our webs:

<https://www.lynxbroker.cz/>; <https://www.lynxbroker.pl/> and <https://www.lynxbroker.sk/>.

Information obligations fulfillment, incl. **Information related to the protection of the account**, you can find via our Czech webpage: <https://www.lynxbroker.cz/platforma-a-nastroje/ochrana-uctu/>

For Slovak clients: <https://www.lynxbroker.sk/firemny-ucet/poistenie-uctu/>

For Polish clients: <https://www.lynxbroker.pl/platforma-i-narzedzia/ochrona-konta/>

Printed version of this document is available in the LYNX office.

LYNX B.V. reserves the right to take an update of this document.

Hereby, we ask you to get familiarized with this document. Thank you.

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